Gravitational-wave Astrophysics









INFŃ



A new window into the Universe















Abbott et al. 2020, LRR

GW SOURCES DETECTABLE BY LIGO, Virgo and KAGRA

Binaries of compact objects



1–170 Myr⁻¹ per Milky Way equivalent galaxy



2 per century in a Milky Way equivalent galaxy

Short-duration busrts



Masses in the Stellar Graveyard



Observations of gravitational waves from a binary black- hole merger



(LIGO Scientific Collaboration and Virgo Collaboration) (Received 21 January 2016; published 11 February 2016)

Published by American Physical Society[™]



Masses in the Stellar Graveyard



O2 LIGO-Virgo | Frank Elavsky, Aaron Geller | Northwestern

Radioactively powered transients



01+02+03



Masses in the Stellar Graveyard



LIGO-Virgo-KAGRA | Aaron Geller | Northwestern

90 GW EVENTS!

LVK arXiv:2111.03606

Source modelling

 $\mathcal{M}=rac{(m_1m_2)^{3/5}}{(m_1+m_2)^{1/5}}$



Chirp mass drive the early inspiral

Late inspiral and merger → individual masses



GW OBSERVATIONS

- Binary stellar-mass black holes (BBHs) exist;
- BBHs can inspiral and merge within the age of the Universe;
- Heavy stellar-mass black holes (with mass >20 M_{\odot}) exist

(LVC 2018 ApJL, 818)

How do compact object, black hole and neutron star form?



Heger et al. 2003, Fryer 1999, Fryer & Kalogera 2001

THE FORMATION OF COMPACT OBJECTS

Two critical ingredients:

1) PROGENITOR STAR EVOLUTION (STELLAR WINDS)

2) SUPERNOVA EXPLOSION



Chandra + HST + Spitzer Image of the SN remnant Cassiopeia A



Winds ejected by Eta Carinae (HST, credits: NASA)

Credits: Mapelli

THE FORMATION OF COMPACT OBJECTS



LVC 2016 ApJL, 818, 22 Mapelli et al. 2013, MNRAS, 429, 2298 Spera et al. 2015, MNRAS, 451, 4086 Belczynski et al. 2010, ApJ, 714, 1217 **METALLICITY IN ASTROPHYSICS** is NOT same as chemistry

Metals in Astro: every element heavier than Helium

Measured with Z = FRACTION of mass of a star that is not hydrogen or helium

$$X + Y + Z = 1.0$$

If M = total mass of system

$$X_{o} = m_{p}/M$$
 $Y = m_{He}/M$ $Z = \Sigma i m_{i}/M$

Sun values:

 $X_{\odot} \simeq 0.73$, $Y_{\odot} \simeq 0.25$, $Z_{\odot} \simeq 0.02$

STELLAR WINDS

Photons in atmosphere of a star couple with ions

 \rightarrow transfer linear momentum to the ions and unbind them

Coupling through resonant METAL LINES (especially Fe lines) → MASS LOSS DEPENDS ON METALLCITY

$$\dot{M} \propto Z^{\alpha}$$
 $\alpha \sim 0.5 - 0.9$



Credit: M. Mapelli

Massive stars (>30 Msun) might lose >50% mass by winds Stellar wind models underwent major upgrade in last ~10 yr (Vink+ 2001, 2005, 2011; see Vink+ 2016 for a short review)



Models from PARSEC stellar evolution code (Bressan+ 2012; Tang+ 2014; Chen, Bressan+ 2015)

Credit: M. Mapelli

HEAVY BH FORMATION

Very complicated. However, as rule of thumb (Mapelli+ 2009, 2013):



PAIR INSTABILTY SN



If a star is very massive, Helium core MASS > 64 Mo

- \rightarrow Central temperature > 7 x 10⁸ K
- \rightarrow Efficient production of gamma-ray radiation in the core

→ Gamma-ray photons scattering by atomic nuclei produce electron-positron pairs (1 Mev)



The high-energy photon near a nucleus lose its energy to produce an e-e+ pair → The missing pressure of gamma-ray photons produces dramatic collapse during O burning, without Fe core → NO REMNANT

PAIR INSTABILTY SN



Spera & Mapelli 2017

MASS OF THE COMPACT REMNANT AS A FUNCTION OF THE ZERO-AGE MAIN SEQUENCE MASS OF THE STAR

O1 and O2 RESULTS

OBSERVED MASSES

PREDICTED COMPACT-OBJECT MASS



Formation pathways to form a massive black hole (>25 Mo)

BHs can form in dense environment or in the galaxy field:

- Globular Cluster/Young Star Cluster
 R ~ 1-10 pc, N ~ 10³⁻⁷ stars
- Galaxy field
 R~10 kpc, N ~10¹⁰ stars





Massive BHs form:

- 1) from direct collapse of metal-poor progenitor stars (BOTH CLUSTER AND FIELD)
- 2) dynamically triggered mergers of lower mass BHs or BH-star favored
- by three-body encounters (CLUSTER ONLY)
- ightarrow in GC unlikely since BBH ejected from host cluster before merger
- \rightarrow in YSC low rate

3) GW observations \rightarrow as second generation of BH from the merger of lower mass BH

Where do binary black holes form?



Galaxy field R~10 kpc, N ~ 10¹⁰ stars Dense environment star clusters $R \sim 1-10 \text{ pc}$, $N \sim 10^{3-7} \text{ stars}$

How do they form binary systems?



See e.g. Abbott et al. 2016, ApJL, 818, 22

Dynamical interactions



PRIMORDIAL BINARIES or ISOLATED BINARIES:

two stars form from same cloud and evolve into two BHs gravitationally bound

MOST MASSIVE STARS ARE IN BINARY SYSTEMS 70% of massive stars have a companion (e.g. Sana et al. 2012; Moe & Di Stefano 2017)



NOT SO EASY: Many evolutionary processes can affect the binary

Turk, Abel, O'Shea 2009

- SN kick 🔶
- Mass transfer
- Common envelope

Poor knowledge of the phyisics which governs the binary sytem evolution!

COMMON ENVELOPE



DYNAMICAL BINARIES: BBH forms and/or evolves by dynamical processes

DYNAMICS is IMPORTANT ONLY IF $n > 10^3$ stars pc⁻³ i.e. only in dense star clusters, where encounters are common

BUT massive stars (compact-object progenitors) form in star clusters

(Lada & Lada 2003; Weidner & Kroupa 2006; Weidner, Kroupa & Bonnell 2010; Gvaramadze et al. 2012; see Portegies Zwart+ 2010 for a review)



The dynamics of stellar BH binaries: FLYBYs



The star acquires kinetic energy from the binary -the binary shrink - shorter coalescence time

The dynamics of stellar BH binaries: EXCHANGEs



- EXCHANGES bring BHs in binaries
- BHs are FAVOURED by exchanges because they are MASSIVE!
- NS lighter \rightarrow dynamics is less important for NSs

>90% BH-BH binaries in young star clusters form by exchange (Ziosi et al. 2014)

EXCHANGES FAVOUR THE FORMATION of BH-BH BINARIES WITH

- THE MOST MASSIVE BHs
- HIGH ECCENTRICITY
- MISALIGNED BH SPINS

Pathways to form "heavy" binary BHs



Isolated binary systems

Figure: Belczynsky arXiv:1602.04531

Dense stellar environments – dynamical origin



Figure: Ziosi et al. 2014

Both scenarios consistent with heavy BBH observed by LIGO and Virgo in provided metallicities lower than 1/2 Zo

Binary BH Formation: can we distinguish among formation channels (field vs cluster)?



Could distinguish between formation channels with **O(100) detections** (Zevin et al. 2017, ApJ, 846, 82Z)

[Isolated binary see Bethe & Brown 1998, ApJ, 506, 780, Belczynski et al. 2016, Nature, 534, 512, Marchant et al. 2016, A&A, 588, 50, Mapelli et al. 2017, MNRAS.472.2422, Stevenson et al. 2017, NatCo, 814906, Dynamical formation see - Portegies Zwart & McMillan 2000, ApJ, 528, L17, Mapelli 2016, MNRAS, 459, 3432, Rodriguez et al. 2016, PhRvD, 93, 4029, Askar et al. 2017, MNRAS, 464, L36, Banerjee 2017, MNRAS, 467, 524]

Spin measurements



Isolated binary



several binary evolution processes tend to align the spins with orbital angular momentum

Cluster binary



 \rightarrow isotropic spin orientations

Spin measurements

Abbott et al.2017,PhysRevL,118,221101, Abbott et al. 2016, PhysRevX,6,041015



 $\chi_{\text{eff}} = \frac{c}{GM} \left(\frac{\boldsymbol{S}_1}{m_1} + \frac{\boldsymbol{S}_2}{m_2} \right) \cdot \hat{\boldsymbol{L}}$

L

GW151226

Farr et al. 2017,Nature, 548, 426, Farr et al. 2018,ApJL,854,9, O'Shaughnessy et al. 2017, PhRvL,119,1101 Wysocki et al. 2018, PhRvD, 97, 3014

Population Studies

Are we observing binary BHs from multiple formation channel?

PRIMARY BH MASS DISTRIBUTION

BH mass spectrum:

 not well described as a simple power law with an abrupt cutoff



Abbott et al. 2021, ApJL, 913

PRIMARY BH MASS DISTRIBUTION

BH mass spectrum:

- not well described as a simple power law with an abrupt cutoff
- but show a feature at ~40 solar masses, which can be represented by a *break* in the power law or a Gaussian *peak*



Abbott et al. 2021, ApJL, 913

PRIMARY BH MASS DISTRIBUTION

BH mass spectrum:

- not well described as a simple power law with an abrupt cutoff
- but show a feature at ~40 solar masses, which can be represented by a *break* in the power law or a Gaussian *peak*
- hint of high mass peak



Abbott et al. 2021, ApJL, 913

SPINS from statistical studies

- In-plane spin components are present in the BBH population, giving rise to precession of the orbital plane
- 12%- 44% of BBHs have spins tilted by more than 90°, giving rise to a negative effective inspiral spin
- hints, but no clear evidence that the spin distribution varies with mass

LOCAL ASTROPHYSICAL RATE

- BNS 10-1700 Gpc⁻³ yr⁻¹
- NSBH 7.4-320 Gpc⁻³ yr⁻¹
- BBH 17.9-44 Gpc⁻³ yr⁻¹

Abbott et al. arXiv:2111.03634

Allowing merger rate to evolve with z

$$\boldsymbol{R}(\boldsymbol{z}) = (1\!+\!\boldsymbol{z})^K$$

- It probably evolves with z, but slower than star formation rate
- Merger rate increase of about a factor
 2.5 between z=0 and z=1

Abbott et al. 2021, ApJL, 913



Isolated binary




The birth of a intermediate massive black-hole!



Credit: Mark Myers, ARC Centre of Excellence for Gravitational Wave Discovery (OzGrav)

GW190521 - O3

The birth of a intermediate massive black-hole!



credit: LIGO/Caltech/MIT/R. Hurt (IPAC)

Abbott et al 2020, PRL, 125 Abbott et al 2020, APJL, 900



First strong observational evidence for an intermediate-mass black hole

The primary falls in the mass gap by (pulsational) pair-instability SN

CHALLENGE FOR STELLAR EVOLUTION

Abbott et al 2020, APJL, 900





Hierarchical mergers



Giant star with He core MS star F. Paresce, R. O'Connell Stellar merger product Black hole in PI gap

Abbott et al 2020, APJL, 900

ISOLATED BINARY EVOLUTION DISFAVORED

DYNAMICAL SCENARIOS

Stellar mergers in young star clusters



Credit: NASA/JPL-Caltech

Active galactic nucleus disks



Credit: Imre Bartos



BBH in the accretion disk of a supermassive black hole?

Caltech/R. Hurt (IPAC)



Graham et al 2020, PRL 124

ZTF detected a candidate counterpart(!?)

- EM flare close to AGN
- ~ 34 days after the GW event
- consistent with expectations for a kicked BBH merger in the accretion disk AGN
- 765 deg² localization area
- ZTF observed 48% of the 765 deg² (90% c.r.)

Binary compact obbject mergers EM emissions

NS-NS and NS-BH mergers

Ultra-relativistic outflow

Short Gamma Ray Burst

Isotropic emission kilonova

Sub-relativistic ejecta

Beamed emission

NS-NS and NS-BH inspiral and merger



The merger gives rise to:

- dynamically ejected unbound mass
- ejected mass gravitationally bound to the central remnant either falls back or circularizes into an accretion disk

NS-NS binary \rightarrow <u>unbound mass</u> of 10⁻⁴ -10⁻² Mo ejected at 0.1-0.3c, which depends on total mass, mass ratio, EOS NS and binary eccentricity





NS-NS and NS-BH inspiral and merger



Fernandez & Metzger 2016, ARNPS, 66

The merger gives rise to:

- dynamically ejected unbound mass
- ejected mass gravitationally bound to the central remnant either falls back or circularizes into an accretion disk

NS-BH binary \rightarrow <u>unbound mass</u> up to 0.1 Mo depends on ratio of the tidal disruption radius to the innermost stable circular orbit If < 1 \rightarrow NS swallowed by the BH no mass ejection

If > 1 NS \rightarrow tidally disrupted, long spiral arms

which depends on the mass ratio, the BH spin and the NS compactness

See Kawaguchi et al. 2016, ApJ, 825, 52

NSBH COALESCENCE

Before the merger, the BH is described by its mass M_{BH} and spin χ_{BH} which determine the radius of the ISCO, R_{ISCO}



Once the NS approaches the BH, the tidal forces increase. The objects' \bullet internal structure become important as the orbital separation approaches the size of the bodies





NS effectively disrupted

 \rightarrow BH remnant surrounded by baryon matter

→ EM COUNTERPARTS

ISCO = innermost stable circular orbit of the BH, inside which no material have a stable circular orbit around the BH

For a non rotating Schwarzchild BH

$$R_{ISCO} = 6GM_{BH} / c^2 = 3R_S$$

For a rotating BH the equatorial ISCO also depends on the spin angular momentum





• NS spin negligible \rightarrow typically assumed

$$\chi_{_{NS}}\sim 0$$

NSs are expected to born rapidly rotating but before NSBH coalescence (which requires long time from their birth) they have time to spin down by dipoleemission (the lack of matter accreting onto the NS prevent spin-up by recycling)

 Assumed non-precessing binaries → BH spin vector aligned or anti- aligned with the orbital angular momentum



 Anti-aligned configurations → larger ISCO, favour direct plunge of the NS into the BH → no baryonic mass left outside the final BH to power an EM counterpart Tidal disruption radius occurs



radius at which tidal disruption

The tidal disruption occurs when the tidal force of the BH is stronger than the self-gravity of the NS



C=non dimensional coefficient r =orbital separation

Newtonian theory

 $\frac{3M_{BH}}{d^3}R_{NS} \sim \frac{M_{NS}}{R_{NS}^2}$

 $d_{tidal} \sim R_{NS} \left(\frac{3M_{BH}}{M_{NS}}\right)^{1/3}$

Foucart et al. 2012

Foucart 2012



Large baryon mass left outside the merger remnant:

- Mass ratio BH/NS small \rightarrow small BH mass
- Large BH spin angular momentum
- Small NS compactness

NS COMPACTNESS M_{NS}/R_{NS}

See Pannarale & Ohme 2014, Foucart et al. 2018, Barbieri et al. 2019

In the degenerate interiors of neutron stars EOS: $P \propto \rho^{\alpha}$

Small $\alpha \rightarrow \text{soft EOS}$ (easier to compress) High $\alpha \rightarrow \text{stiff EOS}$ (harder to compress)



In the degenerate interiors of neutron stars EOS: $P \propto \rho^{\alpha}$

Small $\alpha \rightarrow \text{soft EOS}$ (easier to compress) High $\alpha \rightarrow \text{stiff EOS}$ (harder to compress)



Mass-Radius relation is "unique" to the underlying EoS

- Soft EoS: low maximum M and smaller R for the same M (more compact)
- Stiff EoS: high maximum M and larger R for the same M (less compact)

Foucart 2012



Large baryon mass left outside the merger remnant:

- Mass ratio BH/NS small \rightarrow small BH mass
- Large BH spin angular momentum
- Small NS compactness → same M large NS radius, stiff EOS

(harder to compress, easier to be disrupted)

See Pannarale & Ohme 2014, Foucart et al. 2018, Barbieri et al. 2019

NS-NS and NS-BH inspiral and merger



Fernandez & Metzger 2016, ARNPS, 66

• Ejected material gravitationally bound from the central remnant can fall back or circularizes into an accretion disk

Disk mass up to ~ **0.3Mo** Disk mass depends on the mass ratio of the binary, the spins of the binary components, the EOS, and the total mass of the binary

For NS-BH see e.g. Foucart 2012, PhRvD, 86; Maselli & Ferrari, PhRvD, 89; Pannarale & Ohme, ApJL, 791

Outflow mass and geometry influence the EM emission

Central remnant of NS-NS or NS-BH merger



The central remnant influences GW and EM emission

What is central remnant?

- It depends on the total mass of the binary
- The mass threshold above which a BH forms directly depends on EOS

GWs

- Mass
- Spins
- Eccentricity
- NS compactness and tidal deformability
- System orientations
- Luminosity distance

EM emission

- Beamed and isotropic EM emissions
- Energetics
- Jet astrophysics
- Nucleosynthesis





GW170817





GW Observables

GW170817: PARAMETERS OF THE SOURCE



23 < *f /Hz* < 2048 Analysis uses source location from EM

• Mass range 1.0 – 1.89 Mo

Masses are consistent with the masses of all known neutron stars!

Abbott et al. 2018, arXiv1805.11579

NS LABORATORY FOR STUDYING SUPER-DENSE MATTER









From only GWs we cannot say both components of the binary were NS

EM non-thermal emission



Short Gamma Ray Burst



Prompt emission Y-ray within seconds Afterglow emission Optical, X-ray, radio hours, days, months



GRB 170817A

- 100 times closer than typical GRBs observed by Fermi-GBM
- it is also "subluminous" compared to the population of long/short GRBs
- 10² 10⁶ less energetic than other short GRBs



Abbott et al. 2017, APJL, 848, L13

First short GRB viewed off-axis?

After 150 days from the BNS merger...



..unexpected slow achromatic flux—rise until ~ 150 days!

D'Avanzo et al. 2017, A&A

RADIAL or ANGULAR STRUCTURE?



Mildly relativistic isotropic outflow (choked jet)



Structured Jet (successful) off-axis jet





[see e.g. Rossi et al. 2002, Zhang et al. 2002, Ramirez-Ruiz et al. 2002, Nakar & Piran 2018, Lazzati et al. 2018, Gottlieb et al. 2018, Kasliwal 2017, Mooley et al. 2017, Salafia et al. 2017, Ghirlanda et al. 2019]

After 150 days from the BNS merger...decaying phase!





MULTI-WAVELENGTH LIGHT CURVES CANNOT DISENTANGLE THE TWO SCENARIOS!

[Margutti, et al. 2018, Troja, et al. 2018, D'Avanzo et al. 2018, Dobie et al. 2018, Alexander et al. 2018, Mooley et al. 2018, Ghirlanda et al. 2019]

SIZE CONSTRAINTS

Observations 207.4 days after BNS merger by global VLBI network of 33 radio telescopes over five continents constrain SOURCE SIZE < 2 mas



Ghirlanda et al. 2019, Science



See also Mooley, Deller, Gottlieb et al. 2018

SIZE CONSTRAINTS

Ghirlanda et al. 2019, Science





Ruled out nearly isotropic, mildly relativistic outflow , which predicts proper motion close to zero and size > 3 mas after 6 months of expansion

Ghirlanda et al. 2019, Science



A relativistic energetic and narrowly-collimated jet successfully emerged from neutron star merger GW170817!

Thermal-emission



Kilonova



Tidal-tail ejecta → r-process

Neutron capture rate much faster than decay, special conditions: $T > 10^9$ K, high neutron density 10^{22} cm⁻³

nucleosynthesis of heavy nuclei

radioactive decay of heavy elements

Power short lived RED-IR signal (days)

Li & Paczynski 1998; Kulkarni 2005 Metzger et al. 2010; Tanaka et al. 2014; Barnes & Kasen 2013



Shock-heated ejecta, accretion disc wind outflow, secular ejecta

- Weak interactions: neutrino absorption, electron/positron capture
- Higher electron fraction, no nucleosynthesis of heavier element
- Lower opacity
 - brief (~ 2 day) blue optical transient

Kasen et al. 2015, Perego et al. 2014, Wanajo et al. 2010
Observables: expectations



Light curve shape (duration and peak luminosity) and spectarl shape are dramatically affected by lanthanides

UV/Optical/NIR Light Curves



Extremely well characterized photometry of a Kilonova: thermal emission by radiocative decay of heavy elements synthesized in multicomponent (2-3) ejecta!



First spectral identification of the kilonova emission

- the data revealed signatures of the radioactive decay of r-process nucleosynthesis (Pian et al. 2017, Smartt et al. 2017)
- BNS merger site for heavy element production in the Universe!

(Cote et al. 2018, Rosswog et al. 2017)

Credit: ESO/E. Pian et al./S. Smartt & ePESSTO/L. Calçada

Nucleosynthesis

Smartt et al. 2017



Attempt to identify elements Neutral caesium Excited tellurium

Spectral analysis hampered because of:

- heavy elements have forest of lines hence strong blending
- relativistic velocity makes for extremely broad lines (multicomponents and different velocities)
- atomic data are incomplete and uncertain



identification of the neutron-capture element **strontium**

See also Perego et al. 2021

Watson, D. et al. 2019 Nature



Multi-messenger studies

GRB/GW FUNDAMENTAL PHYSICS/COSMOLOGY





GRB/GW delay

 $\Delta t = (1.74 \pm 0.05) \, s$

 → difference speed of gravity and speed of light between

$$-3\,\times\,10^{-15}\leqslant\frac{\Delta v}{v_{\rm EM}}\leqslant+7\,\times\,10^{-16}$$

GWs propagate at the speed of light to within 1:10¹⁵! LVC 2017, APJL, 848, L13

Consequences of multi-messenger detection of GW170817 for cosmology Constraint on the speed of GWs ruled out many classes of modified gravity models (quartic/quintic Galileons, TeVeS, MOND-like theories, see, e.g., Baker et al. '17, Creminelli & Vernizzi '17)